



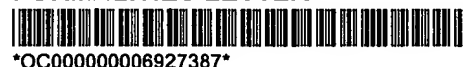
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/955,165	09/19/2001	Christoph Cornelius Micheal	CIG-105

CONFIRMATION NO. 8323

## FORMALITIES LETTER



\*OC000000006927387\*

Shaw Pittman LLP  
1650 Tysons Boulevard  
McLean, VA 22102

Date Mailed: 10/18/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

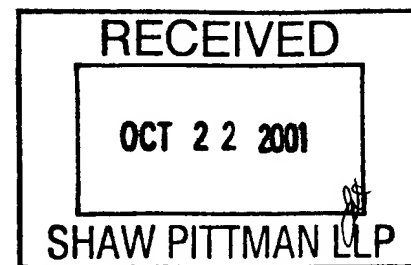
*A copy of this notice **MUST** be returned with the reply.*

H.T.  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 1 - ATTORNEY/APPLICANT COPY

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01 FC:205 65.00 CH

09955165

DUE Completion of Appln  
DATE 12/18/01  
DOCKETED 10/26/01



SECTOR \$ #3  
PATENT

CIG-105

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Before the Application of:

MICHAEL

Serial No.: 09/955,165 ✓

Filed: September 19, 2001

For: SYSTEM AND METHOD FOR  
MINING EXECUTION TRACES  
WITH FINITE AUTOMATA

Art Unit: 2184

Examiner: Not Yet Assigned

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON PROVISIONAL  
APPLICATION FILED UNDER 37 CFR 1.53(b) - FILING DATE GRANTED**

Box: Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Non Provisional Application Filed

Under 37 C.F.R. 1.53(b) mailed October 18, 2001, copy attached, applicants are submitting

herewith:

- ☒ Declaration and Power of Attorney for Patent Application signed by Applicant;
- ☐ \_\_\_\_\_ sheets of drawings in compliance with applicable regulations;
- ☐ Other: \_\_\_\_\_;
- ☐ Check in the amount of \_\_\_\_\_ to cover the required fees for submission of these documents;
- ☒ The Commissioner is hereby authorized to charge the amount of \$65 to Deposit Account 50-1390 to cover the required fees for submission of these documents, and a copy of this authorization is attached.

Serial No.: 09/955,165  
Art Unit: 2184

Attorney's Docket No.: CIG-105  
Page 2

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account 50-1390.

It is respectfully submitted that the application is now complete and it is respectfully requested that the subject application be placed upon the files for examination.

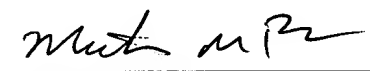
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Tel: 703/770-7661

Respectfully submitted,

MICHAEL

Date: November 16, 2001

By:



Michele M. Burris  
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